

Application Data Sheet

Application Information

Application number:	TBA
Filing Date:	12/28/01
Application Type:	Regular
Subject Matter:	Utility
Suggested Classification:	
Suggested Group Art Unit:	1754
CD-ROM or CD-R?:	None
Number of CD disks:	
Number of copies of CDs:	
Sequence Submission?:	None
Computer Readable Form (CRF)?:	No
Number of copies of CRF:	
Title:	METHOD FOR FORMING AN ARRAY OF SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF
Attorney Docket Number:	11321-P012USD3
Request for Early Publication?:	No
Request for Non-Publication?:	No
Suggested Drawing Figure:	1
Total Drawing Sheets:	21
Small Entity?:	Yes
Petition included?:	No
Petition Type:	
Licensed US Govt. Agency:	
Contract or Grant Numbers:	
Secrecy Order in Parent Appl.?:	No

Applicant Information

Applicant Authority type: Inventor
 Primary Citizenship Country: US
 Status: Full Capacity
 Given Name: Richard
 Middle Name: E.
 Family Name: Smalley
 Name Suffix:
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: 1816 Bolsover Street
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US
 Postal or Zip Code of mailing address: 77005

Applicant Authority type: Inventor
 Primary Citizenship Country: US
 Status: Full Capacity
 Given Name: Daniel
 Middle Name: T.
 Family Name: Colbert
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: 1911 Milford Street
 City of mailing address: Katy
 State or Province of mailing address: TX
 Country of mailing address: US

Postal or Zip Code of mailing address: 77098
 Applicant Authority type: Inventor
 Primary Citizenship Country: US
 Status: Full Capacity
 Given Name: Hongjie
 Middle Name:
 Family Name: Dai
 Name Suffix:
 City of Residence: Sunnyvale
 State or Province of Residence: CA
 Country of Residence: US
 Street of mailing address: 365 Pescadero Street
 City of mailing address: Sunnyvale
 State or Province of mailing address: CA
 Country of mailing address: US
 Postal or Zip Code of mailing address: 94068

Applicant Authority type: Inventor
 Primary Citizenship Country: CN/US
 Status: Full Capacity
 Given Name: Jie
 Middle Name:
 Family Name: Liu
 Name Suffix:
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: 5825 Gulfon #3214
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US

Postal or Zip Code of mailing address: 77081
 Applicant Authority type: Inventor
 Primary Citizenship Country: US
 Status: Full Capacity
 Given Name: Andrew
 Middle Name: G.
 Family Name: Rinzler
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: Apartment 171, 6666 Chetwood Street
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US
 Postal or Zip Code of mailing address: 77081

Applicant Authority type: Inventor
 Primary Citizenship Country: US
 Status: Full Capacity
 Given Name: Jason
 Middle Name: H.
 Family Name: Hafner
 Name Suffix:
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: 2129 Banks Street
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US
 Postal or Zip Code of mailing address: 77098

Applicant Authority type: Inventor
 Primary Citizenship Country: RU/US
 Status: Full Capacity
 Given Name: Pavel
 Middle Name:
 Family Name: Nikolaev
 City of Residence: Houston
 State or Province of Residence: TX
 Country of Residence: US
 Street of mailing address: 2232½ Troon
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US
 Postal or Zip Code of mailing address: 77019

Applicant Authority type: Inventor
 Primary Citizenship Country: DE
 Status: Full Capacity
 Given Name: Andreas
 Middle Name:
 Family Name: Thess
 City of Residence: Martinsried
 State or Province of Residence:
 Country of Residence: DE
 Street of mailing address: Max-Planck-Institut Für, Biochemie Abteilung
 Molekulace Strukturbiologie, Am Klopferspitz
 189
 City of mailing address: Martinsried
 State or Province of mailing address:
 Country of mailing address: DE

Postal or Zip Code of mailing address: D-82152

Correspondence Information

Correspondence Customer Number:

Name: Ross Spencer Garsson

Street of mailing address: 100 Congress Avenue
Suite 800

City of mailing address: Austin

State or Province of mailing address: TX

Country of mailing address: US

Postal or Zip Code of mailing address: 78701

Phone number: 512.370.2870

Fax number: 512.370.2850

E-Mail address: rgarsson@winstead.com

Representative Designation: Registration Number: Representative Name:

Primary	38,150	Ross S. Garsson
Associate	34,011	Robert C. Shaddox
Associate	36,574	Hugh R. Kress
Associate	41,297	Reece A. Scott

Domestic Priority Information

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
This Application	Division of	09/380,545	09/03/1999
09/380,545	US National Stage	PCT/US98/04513	03/06/1998
PCT/US98/04513	PCT	60/067,325	12/05/1997
		60/064,531	11/05/1997
		60/063,675	10/29/1997
		60/055,037	08/08/1997
		60/047,854	05/29/1997

		60/040,152	03/07/1997
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Foreign Priority Information

Country:	Application number:	Filing Date:	Priority Claimed:

Assignee Information

Assignee name: William Marsh Rice University
 Street of mailing address: 6100 Main Street
 City of mailing address: Houston
 State or Province of mailing address: TX
 Country of mailing address: US
 Postal or Zip Code of mailing address: 77005